

SADC COMMITTEE OF INSURANCE, SECURITIES AND NON-BANKING FINANCIAL AUTHORITIES

In collaboration with

EASTERN AND SOUTHERN AFRICA ANTI-MONEY LAUNDERING GROUP

PROGRAMME FOR JOINT CISNA / ESAAMLG CAPACITY BUILDING
14 - 18 JULY 2025,

EBENE, REPUBLIC OF MAURITIUS

| Day & Time | Description of Agenda Items | Presenter |
|----------------------------|---|----------------------------|
| MONDAY 14 JULY 2025 | | |
| 08:30 – 09:00 | Registration | |
| 09:00 – 09:30 | Opening Ceremony Welcome Address by Mr. Kenneth Simataa MATOMOLA <i>Chairperson, Committee of Insurance, Securities and Non-Banking Financial Authorities</i> Address by Mr. Prakash SEEWOOSUNKUR <i>Officer in Charge, Financial Services Commission Mauritius</i> Address by Mrs. Fikile ZITHA <i>Executive Secretary, Eastern and Southern Africa Anti-Money Laundering Group</i> | ESAAMLG Secretariat |
| 09:30 – 10:45 | Regulation and supervision of AML/CFT <ul style="list-style-type: none"> ▪ R.26, 14 & 15: market entry ▪ R.1: supervisor's risk understanding and priorities/objectives. | ESAAMLG Secretariat |
| 11:45 – 11:00 | Tea / Coffee Break | |
| 11:00– 12:30 | Continued...: <ul style="list-style-type: none"> ▪ R.26: Frequency and intensity of AML/CFT inspections. ▪ R.27/35: supervisor's powers. ▪ R.34: Guidance ▪ R.40: exchange of information with foreign counterparts. | ESAAMLG Secretariat |

| Day & Time | Description of Agenda Items | Presenter |
|---------------------|--|--------------------------------------|
| 12:30 – 13:30 | Lunch | |
| 13:30 – 15:00 | New Immediate Outcome 3 – How to achieve Effectiveness? <ul style="list-style-type: none"> Responsibilities of NBFIs and VASPs supervisors | ESAAMLG Secretariat |
| 15:00 – 15:15 | Tea / Coffee Break | |
| 15:15 – 17:00 | Continued...: New Immediate Outcome 3 – How to achieve Effectiveness? <ul style="list-style-type: none"> Responsibilities of NBFIs and VASPs supervisors | |
| END OF DAY 1 | | |

| Day & Time | Description of Agenda Items | Presenter |
|-----------------------------|--|----------------------------|
| TUESDAY 15 JULY 2025 | | |
| 08:30 – 09:00 | Registration | |
| 09:00 – 10:45 | New Immediate Outcome 3 – How to achieve Effectiveness? <ul style="list-style-type: none"> Compliance obligations for NBFIs and VASPs | ESAAMLG Secretariat |
| 11:45 – 11:00 | Tea / Coffee Break | |
| 11:00– 12:30 | Continued...: New Immediate Outcome 3 – How to achieve Effectiveness? <ul style="list-style-type: none"> Compliance obligations for NBFIs and VASPs | ESAAMLG Secretariat |
| 12:30 – 13:30 | Lunch | |
| 13:30 – 15:00 | Scope of Inspection – Testing compliance with regulatory requirements by NBFIs and VASPs (R.9 – 23) <ul style="list-style-type: none"> Assessing and documenting entity ML/TF risks (R.1). Compliance function commensurate to size of business and ML/TF risks including staff integrity and training for effective implementation (R.18). Due diligence incl. BO and record keeping measures proportionate to ML/TF risks identified (R.10 and 11). Specific measures for PEPs (R.12) and high-risk jurisdictions (R.19). | ESAAMLG Secretariat |
| 15:00 – 15:15 | Tea / Coffee Break | |
| 15:15 – 17:00 | Continued...: Scope of Inspection – Testing compliance with regulatory requirements by NBFIs and VASPs (R.9 – 23) | |
| END OF DAY 2 | | |

| Day & Time | Description of Agenda Items | Presenter |
|-------------------------------|---|----------------------------|
| WEDNESDAY 16 JULY 2025 | | |
| 08:30 – 09:00 | Registration | |
| 09:00 – 10:45 | <p>Continued...:</p> <p>Scope of Inspection – Testing compliance with regulatory requirements by NBFIs and VASPs (R.9 – 23)</p> <ul style="list-style-type: none"> Statutory reporting obligations (R.20) and prohibition of tipping off (R.21). Wire transfers in MVTs and VASPs (R.16 in R.14 & 15). Targeted financial sanctions measures on TF and PF (R.6 & 7). Introduced business or reliance on third parties (R.17). | ESAAMLG Secretariat |
| 11:45 – 11:00 | Tea / Coffee Break | |
| 11:00– 12:30 | <p>Continued...:</p> <p>Scope of Inspection – Testing compliance with regulatory requirements by NBFIs and VASPs (R.9 – 23)</p> | ESAAMLG Secretariat |
| 12:30 – 13:30 | Lunch | |
| 13:30 – 15:00 | <p>Continued...:</p> <p>Scope of Inspection – Testing compliance with regulatory requirements by NBFIs and VASPs (R.9 – 23)</p> | ESAAMLG Secretariat |
| 15:00 – 15:15 | Tea / Coffee Break | |
| END OF DAY 3 | | |

| Day & Time | Description of Agenda Items | Presenter |
|--|---|----------------------------|
| THURSDAY 17 JULY 2025 | | |
| REVIEW OF CISNA AML/CFT RISK-BASED SUPERVISION MANUAL <i>CISNA Member Countries Only</i> | | |
| 08:30 – 09:00 | Registration | |
| 09:00 – 10:45 | Outline: Legal and Regulatory Framework <ul style="list-style-type: none"> ▪ Purpose and objectives of supervision manual ▪ Scope and Application ▪ Designation and Regulatory Universe ▪ Mandate and powers of Supervisors ▪ Supervisory Action ▪ Inspection types and procedures/processes ▪ Coordination and cooperation | ESAAMLG Secretariat |
| 11:45 – 11:00 | Tea / Coffee Break | |
| 11:00– 12:30 | Continued...: Outline: Legal and Regulatory Framework | ESAAMLG Secretariat |
| 12:30 – 13:30 | Lunch | |
| 13:30 – 15:00 | Outline: Review of Scope of CISNA supervision manual <ul style="list-style-type: none"> ▪ Risk and Compliance Programme of Entity (Preventive Measures). | ESAAMLG Secretariat |
| 15:00 – 15:15 | Tea / Coffee Break | |
| 15:15 – 17:00 | Continued...: Outline: Review of Scope of CISNA supervision manual <ul style="list-style-type: none"> ▪ Risk and Compliance Programme of Entity (Preventive Measures). | ESAAMLG Secretariat |
| END OF DAY 4 | | |

| Day & Time | Description of Agenda Items | Presenter |
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| FRIDAY 18 JULY 2025 | | |
| 08:30 – 09:00 | Registration | |
| 09:00 – 10:45 | Enforcement procedures/processes | ESAAMLG Secretariat |
| 11:45 – 11:00 | Tea / Coffee Break | |
| 11:00– 12:15 | Continued...: Enforcement procedures/processes | ESAAMLG Secretariat |
| 12:15 – 12:30 | Closing Remarks | CISNA Secretariat ESAAMLG Secretariat |
| 12:30 – 13:30 | Lunch | |
| END OF DAY 5 AND PROGRAMME | | |